

Outcomes in High-risk Subgroups After Fixed-Duration Ibrutinib + Venetoclax for Chronic Lymphocytic Leukemia/Small Lymphocytic Lymphoma: Up To 5.5 years of Follow-up in the Phase 2 CAPTIVATE Study

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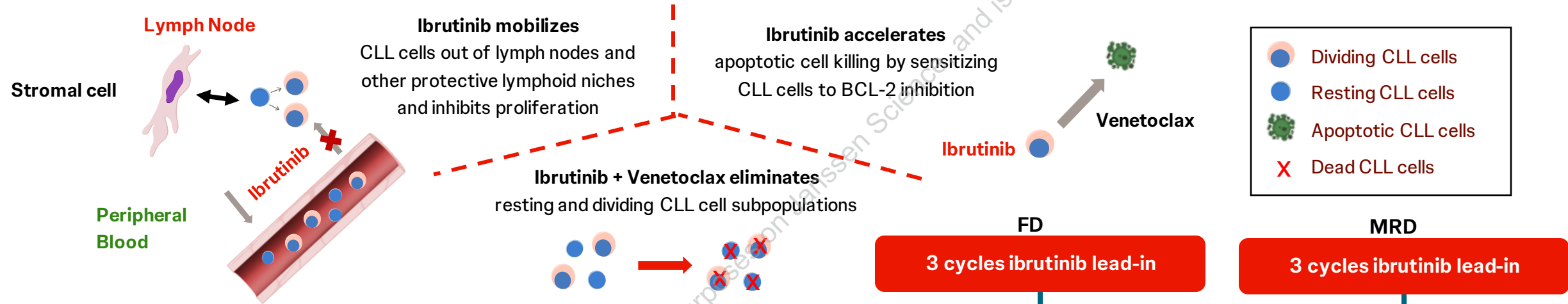
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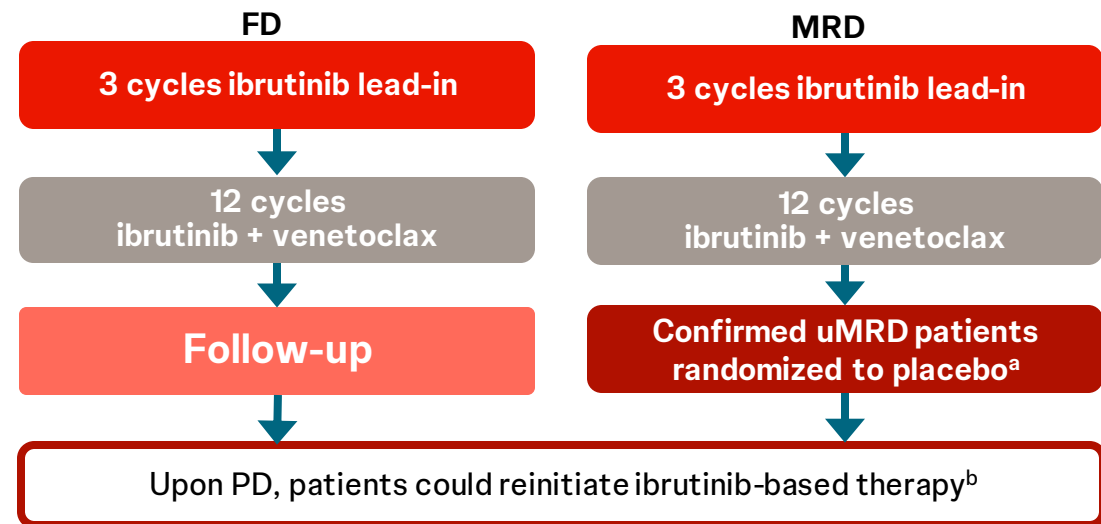


CAPTIVATE Study: Ibrutinib and Venetoclax Work Synergistically Through Distinct and Complementary Modes of Action¹⁻³

- Ibrutinib + venetoclax is approved for first-line treatment of CLL/SLL in 78 countries across Asia, Europe, the Middle East, and South America, as well as Canada, Australia, and New Zealand



- This presentation reports outcomes after fixed-duration treatment with ibrutinib + venetoclax in the phase 2 CAPTIVATE study demonstrating:
 - Patients with high-risk genomic features (FD cohort [N=159]) derive meaningful survival benefits from fixed-duration ibrutinib + venetoclax
 - Ibrutinib-based retreatment (FD cohort [N=159] and MRD cohort placebo arm [n=43]) appear to have a positive benefit-risk profile



BCL-2, B cell lymphoma 2; CLL, chronic lymphocytic leukemia; FD, fixed duration; MRD, minimal residual disease; PD, progressive disease; SLL, small lymphocytic lymphoma; uMRD, undetectable MRD.

^aPatients with confirmed uMRD (defined as uMRD [$<10^{-4}$ by 8-color flow cytometry] serially over ≥ 3 cycles in both peripheral blood and bone marrow) after 12 cycles of ibrutinib + venetoclax were randomly assigned 1:1 to receive placebo or ibrutinib; only the placebo arm was included in the current analysis. ^bPatients with PD after completion of fixed-duration ibrutinib + venetoclax could reinitiate single-agent ibrutinib (FD cohort or MRD cohort placebo arm); patients with PD > 2 years after treatment completion could reinitiate fixed-duration ibrutinib + venetoclax (FD cohort).

¹Lu P et al. *Blood Cancer J.* 2021;11:39. ²Deng J et al. *Leukemia.* 2017;31:2075-2084. ³Herman ES et al. *Clin Cancer Res.* 2015;21:4642-4651.



FD Cohort: Baseline Characteristics (N=159)

Characteristic	FD Cohort All Treated Patients N=159
Median age (range), years	60 (33–71)
Male, n (%)	106 (67)
Rai stage III/IV, n (%)	44 (28)
High-risk genomic features, n (%)	
Unmutated IGHV	89 (56)
del(17p)/mutated <i>TP53</i> ^a	27 (17)
del(17p)	20 (13)
del(11q) ^b	28 (18)
Complex karyotype ^c	31 (23)
Any cytopenia, n (%)	54 (34)
ANC $\leq 1.5 \times 10^9/L$	13 (8)
Hemoglobin ≤ 11 g/dL	37 (23)
Platelet count $\leq 100 \times 10^9/L$	21 (13)
Bulky LN disease ≥ 5 cm, n (%)	48 (30)
Median ALC $\times 10^9/L$ (range)	70 (1–503)
ALC $\geq 25 \times 10^9/L$, n (%)	120 (75)

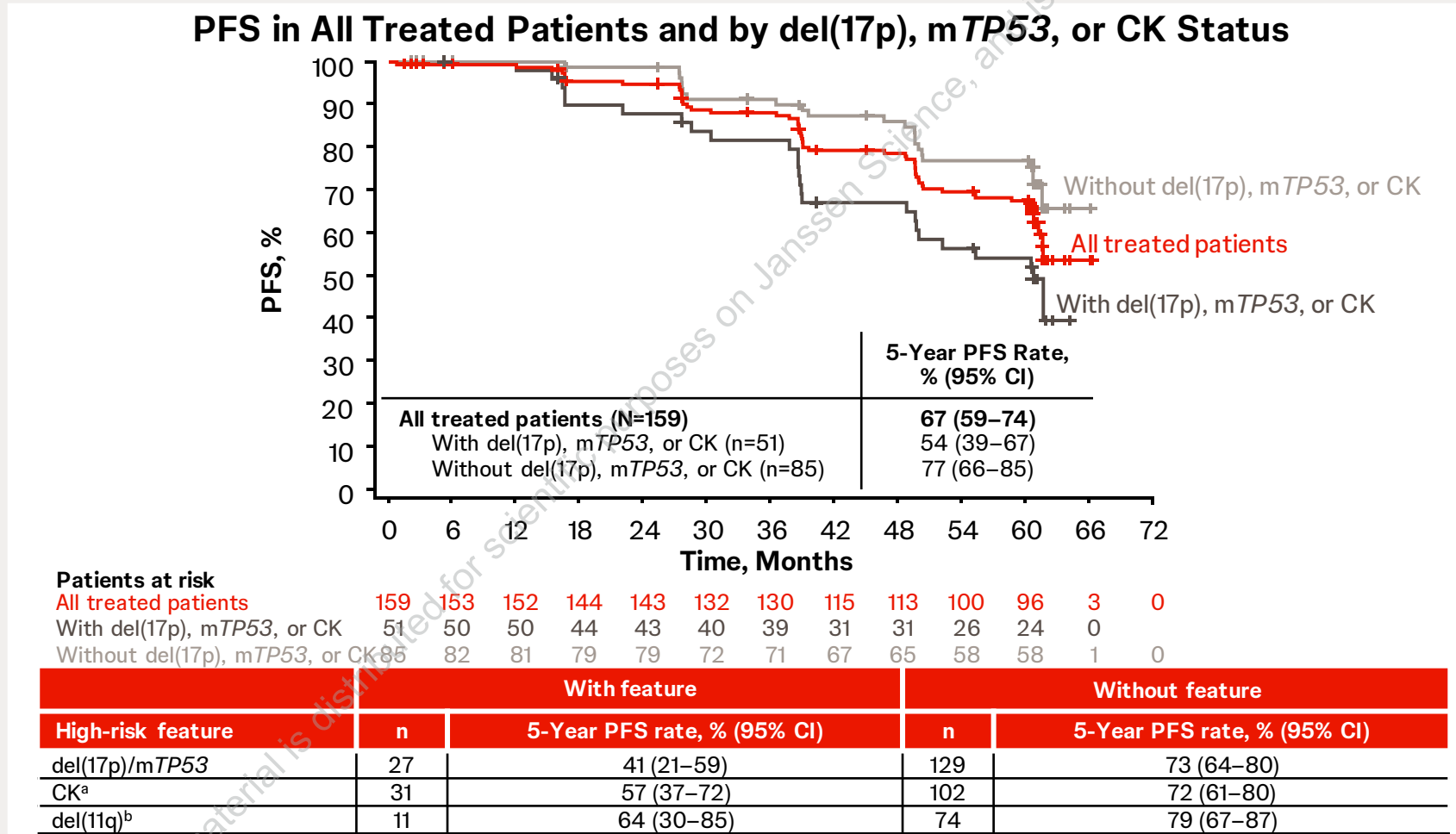
ALC, absolute lymphocyte count; ANC, absolute neutrophil count; LN, lymph node.

^adel(17p)/*TP53* status was missing for 3 patients. ^bWithout del(17p) per Döhner hierarchy. ^cDefined as ≥ 3 abnormalities by conventional CpG-stimulated cytogenetics; complex karyotype status was missing for 26 patients.



FD Cohort: Overall Median PFS Was Not Reached With Up to 5.5 Years of Follow-Up

- Median time on study: 61.2 months (range, 0.8–66.3)

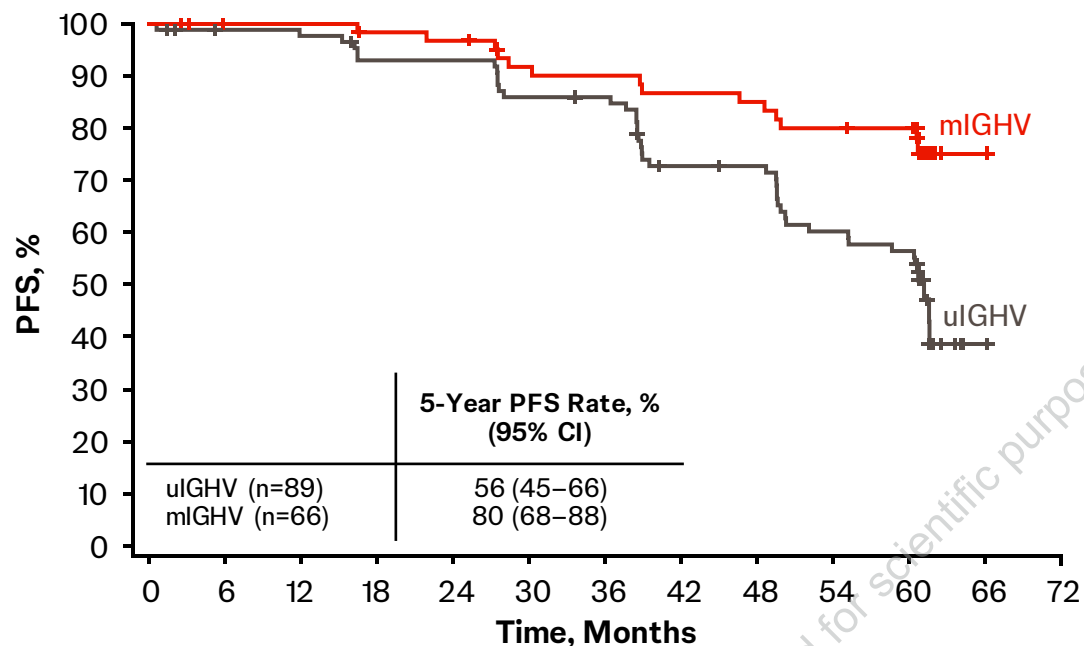


CK, complex karyotype; mTP53, mutated TP53; PFS, progression-free survival. ^aDefined as ≥3 chromosomal abnormalities by conventional CpG-stimulated cytogenetics; ^bExcluding patients with del(17p)/mutated TP53 or CK.



FD Cohort: 5-Year PFS Rates by IGHV Mutation Status (N=159)

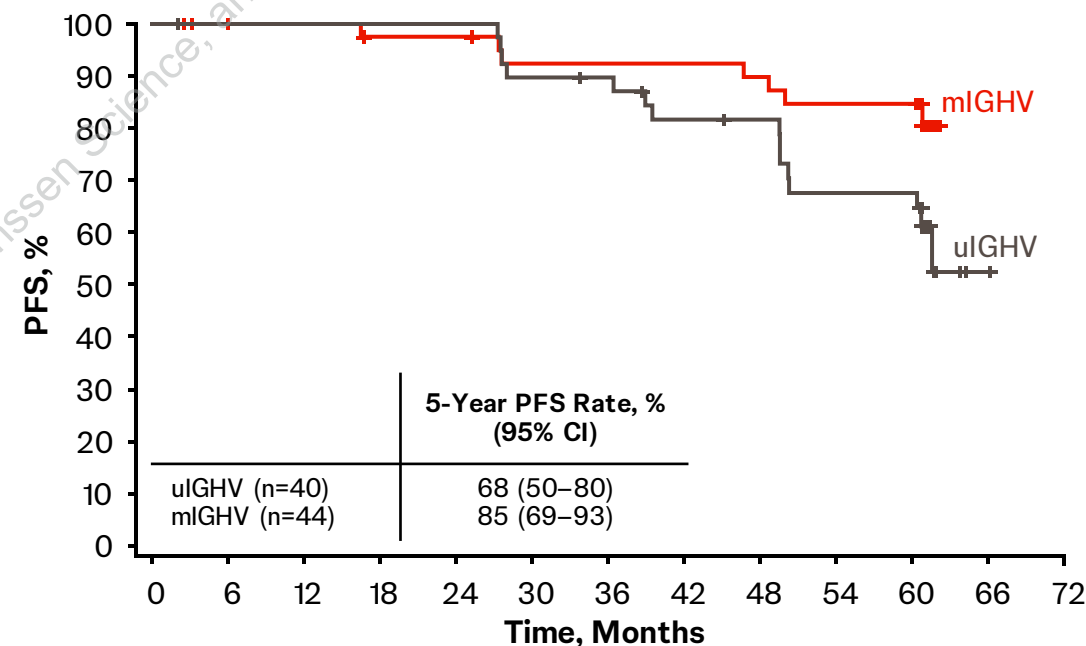
PFS by IGHV Mutation Status (All patients)



Patients at risk

uIGHV	89	85	85	79	79	73	72	59	58	48	45	1	0
mIGHV	66	64	63	61	60	55	54	52	51	48	47	1	0

PFS by IGHV Mutation Status (Excluding Patients With del(17p), mTP53, or CK)



Patients at risk

uIGHV	40	39	39	39	39	35	34	30	29	24	24	1	0
mIGHV	44	42	41	39	39	36	36	36	35	33	33	0	0

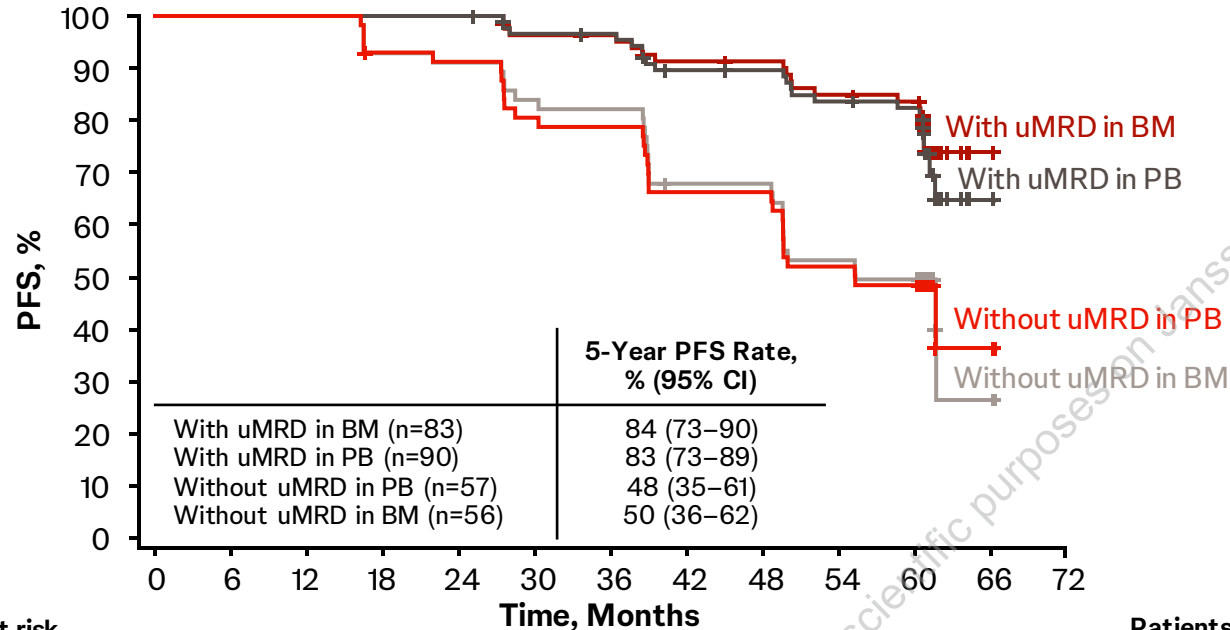
- Co-existing del(17p), mTP53, or CK had a substantial impact on PFS in patients with uIGHV and mIGHV

mIGHV, mutated IGHV; uIGHV, unmutated IGHV.

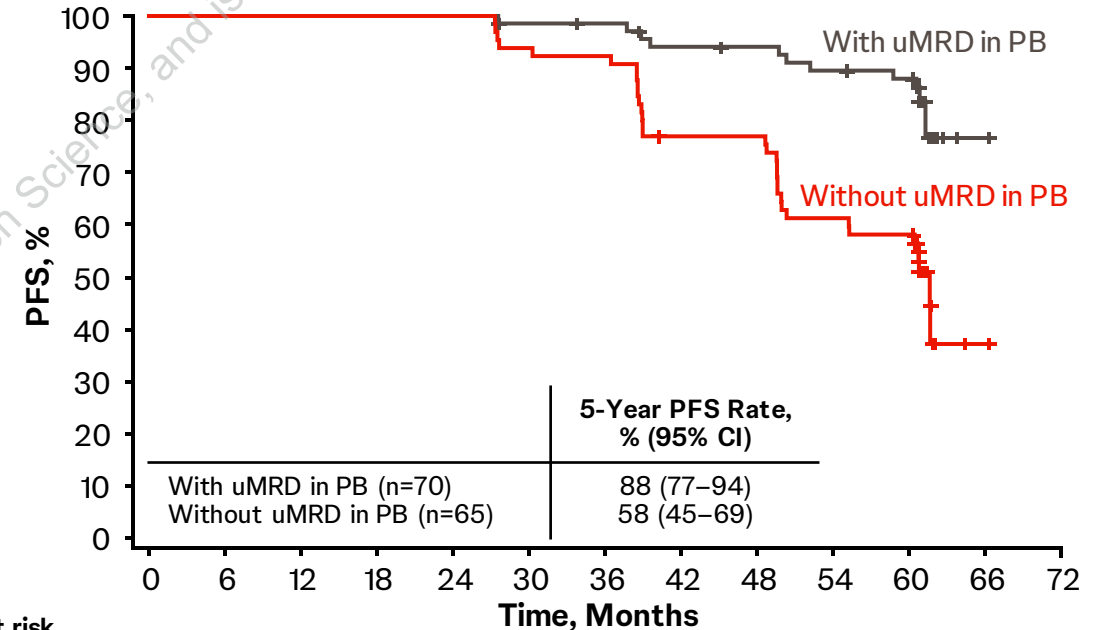


FD Cohort: Improved 5-Year PFS Rates With uMRD in BM and PB (N=159)

PFS by MRD Status at 3 Months After EOT^a



PFS by MRD Status at 12 Months After EOT^a



Patients at risk

	0	6	12	18	24	30	36	42	48	54	60	66	72
With uMRD in BM	83	83	83	83	83	78	77	72	71	66	64	1	0
With uMRD in PB	90	90	90	90	90	85	84	76	75	70	68	1	0
Without uMRD in PB	57	57	57	52	51	45	44	37	37	29	27	2	0
Without uMRD in BM	56	56	56	52	51	47	46	37	37	29	27	2	0

Patients at risk

	0	6	12	18	24	30	36	42	48	54	60	66	72
With uMRD in PB	70	70	70	70	70	68	67	63	62	59	57	1	0
Without uMRD in PB	65	65	65	65	65	61	60	49	49	39	37	2	0

- In high-risk genomic subgroups with del(17p)/mTP53, CK, or uIGHV, 5-year PFS rates were also consistently higher in patients with uMRD⁴ in PB or BM at 3 months after EOT than in those without uMRD⁴^b

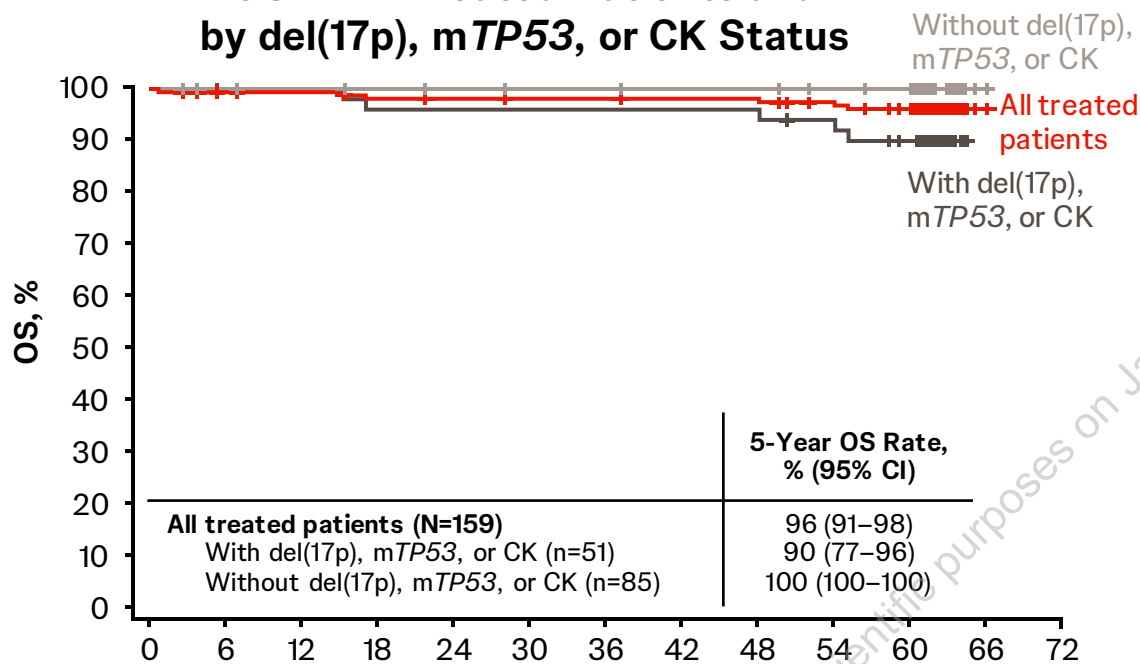
BM, bone marrow; EOT, end of treatment; NE, not estimable; PB, peripheral blood.

^aAnalyzed in patients who completed FD treatment with ibrutinib + venetoclax and had valid MRD results at the specified time point. ^buMRD <10⁻⁴ by 8-color flow cytometry.



FD Cohort: 5-Year OS Rates Were $\geq 90\%$ Regardless of Genomic Risk Features

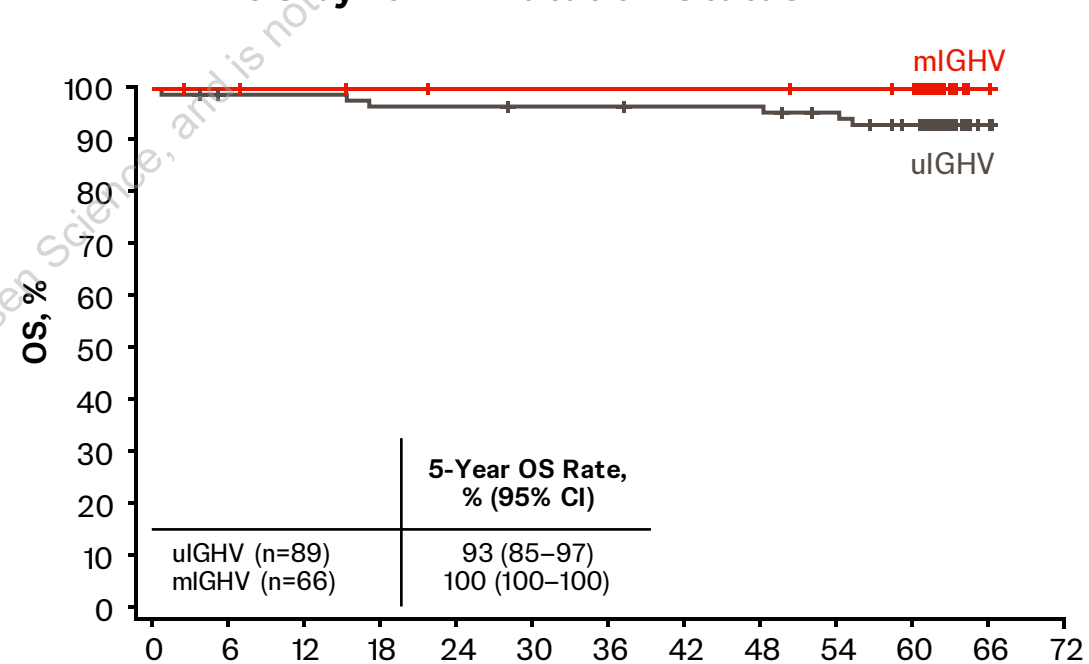
OS in All Treated Patients and by del(17p), mTP53, or CK Status



Patients at risk

	0	6	12	18	24	30	36	42	48	54	60	66	72
All treated patients	159	155	154	151	150	149	149	148	148	144	138	4	0
With del(17p), mTP53, or CK	51	50	50	48	48	48	48	48	48	46	42	0	
Without del(17p), mTP53, or CK	85	83	82	81	80	79	79	78	78	76	75	1	0

OS by IGHV Mutation Status



Patients at risk

	0	6	12	18	24	30	36	42	48	54	60	66	72
uIGHV	89	86	86	84	84	83	83	82	82	79	74	2	0
mIGHV	66	65	64	63	62	62	62	62	62	61	60	1	0

- 5-year OS rates were $\geq 95\%$ regardless of MRD status in PB or BM at 3 months after EOT or in PB at 12 months after EOT

OS, overall survival.



Progressive Disease and Richter Transformation

- In total, 202 patients completed fixed-duration ibrutinib + venetoclax (FD cohort, N=159; MRD cohort placebo arm, n=43)
 - Only 63 patients have had PD to date
 - 61 patients had CLL PD, including 2 patients who subsequently experienced RT during retreatment
 - 2 patients had RT
 - PD occurred >2 years after EOT in most patients (43/63; 68%)
- In the 4 patients with RT, time from first dose to RT was
 - Patient 1: 12.7 months (0.2 months before EOT)
 - Patient 2: 28.1 months (14.3 months after EOT)
 - Patient 3: 50.9 months (after 1.0 months of single-agent ibrutinib retreatment)
 - Patient 4: 55.3 months (after 27 months of single-agent ibrutinib retreatment)

Study Entry Baseline Characteristics: Patients With RT

Characteristic	Patient 1 (DLBCL)	Patient 2 (HD)	Patient 3 (DLBCL)	Patient 4 (DLBCL)
Age, years	68	58	48	55
Sex	Male	Male	Male	Female
Rai stage	I	II	IV	I
Time from CLL diagnosis to study enrollment, months	46.5	13.3	6.3	9.4
Bulky LN disease ≥ 5 cm	Y	Y	N	N
High-risk genomic features				
Unmutated IGHV	Y	Y	Y	Y
del(17p)/mutated <i>TP53</i>	N	N	Y	N
del(11q) ^a	N	Y	N	N
Complex karyotype ^b	Y	N	Y	Y

DLBCL, diffuse large B cell lymphoma; HD, Hodgkin disease; RT, Richter transformation.

^aWithout del(17p) per Döhner hierarchy. ^bDefined as ≥ 3 abnormalities by conventional CpG-stimulated cytogenetics.



Responses Observed With Ibrutinib-Based Retreatment

- Of 61 patients with CLL PD after completion of fixed-duration ibrutinib + venetoclax, 32 (52%) initiated retreatment with single-agent ibrutinib (n=25) or ibrutinib + venetoclax (n=7)^a
- Median time on retreatment on study:
 - 21.9 months (range, 0.0–50.4) for single-agent continuous ibrutinib
 - 13.8 months (range, 3.7–15.1) for 15-month fixed-duration ibrutinib + venetoclax^{a,b}

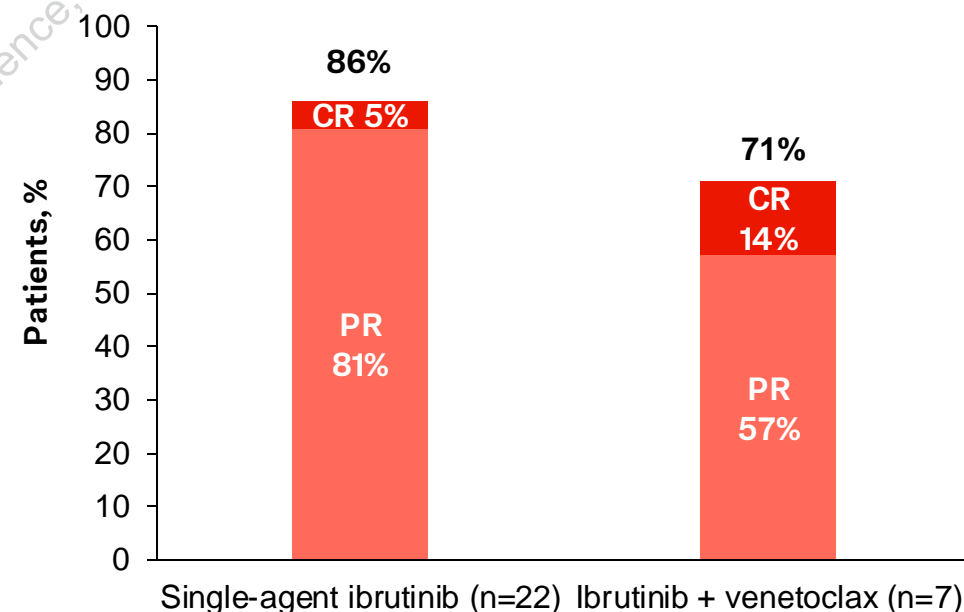
Study Entry Baseline Characteristics: Retreated Patients

Characteristic	Single-agent ibrutinib (n=25)	Ibrutinib + venetoclax (n=7)	All Retreated Patients (n=32)
Median age (range), years	56 (39–71)	63 (49–69)	59 (39–71)
Male, n (%)	15 (60)	6 (86)	21 (66)
Rai stage III/IV, n (%)	4 (16)	2 (29)	6 (19)
High-risk genomic features, n (%)			
Unmutated IGHV	20 (80)	5 (71)	25 (78)
del(17p)/mutated <i>TP53</i>	5 (20)	5 (71)	10 (31)
del(11q) ^d	6 (24)	1 (14)	7 (22)
Complex karyotype ^e	9 (36)	2 (29)	11 (34)
Bulky LN disease ≥5 cm, n (%)	10 (40)	1 (14)	11 (34)

CR, complete response; PR, partial response.

^aPer protocol, only patients with PD >2 years after completion of treatment were eligible to reinitiate ibrutinib + venetoclax. ^bFour patients exited the study during ibrutinib + venetoclax retreatment and completed retreatment off study. ^cThree patients who initiated single-agent ibrutinib retreatment had not yet undergone response assessment. ^dWithout del(17p) per Döhner hierarchy. ^eDefined as ≥3 abnormalities by conventional CpG-stimulated cytogenetics.

Best Response in Evaluable Patients to Date^c



Safety With FD Cohort Treatment and Ibrutinib-Based Retreatment

FD Cohort

- Serious AEs considered related to study treatment and second malignancies continued to be collected after completion of fixed-duration treatment
- No new related serious AEs were reported since the previous analysis¹
- In total, 18 second malignancies occurred in 13 patients
 - 10 events in 8 patients during the TEAE period^a for fixed-duration ibrutinib + venetoclax
 - 6 events in 4 patients after the TEAE period^a and before retreatment
 - 2 events in 2 patients during the TEAE period^a for ibrutinib-based retreatment

Ibrutinib-Based Retreatment

- AEs during retreatment were consistent with known safety profiles for single-agent ibrutinib and ibrutinib + venetoclax

AEs, n (%)	Single-agent ibrutinib (n=25)	Ibrutinib + venetoclax (n=7)
Any AE	18 (72)	7 (100)
Most frequent AEs ^b		
COVID-19 ^c	5 (20)	2 (29)
Diarrhea	5 (20)	3 (43)
Hypertension	4 (16)	4 (57)
Pyrexia	3 (12)	0
Upper respiratory tract infection	3 (12)	0
Nausea	1 (4)	2 (29)
Grade 3/4 AEs	6 (24)	2 (29)
Serious AEs	5 (20)	0
AEs leading to discontinuation	1 (4)	0
AEs leading to dose reduction	0	0

AE, adverse event; TEAE, treatment-emergent adverse event.

^aTEAEs were collected until 30 days after last dose of study treatment or start of subsequent therapy, whichever occurred first. ^bOccurring in ≥10% of patients with single-agent ibrutinib or ≥2 patients with ibrutinib + venetoclax.

^cAll events were grade 1/2.

¹Ghia P et al. Presented at: 65th ASH Annual Meeting and Exposition; December 9–12, 2023; San Diego, CA, USA.



Conclusions

- Ibrutinib + venetoclax is an all-oral, once-daily, chemotherapy-free fixed-duration regimen for first-line treatment of CLL/SLL
- With up to 5.5 years of follow-up, median PFS is still not reached with fixed-duration ibrutinib + venetoclax and achievement of uMRD4 correlates with improved PFS
- Patients with high-risk genomic features, including del(17p)/mutated *TP53*, complex karyotype, and unmutated IGHV, derive meaningful survival benefits from fixed-duration ibrutinib + venetoclax
- In patients relapsing after fixed-duration ibrutinib + venetoclax, retreatment with ibrutinib-based regimens yields durable responses with acceptable safety, including in patients with high-risk genomic features
- Based on the safety profiles of fixed-duration ibrutinib + venetoclax and ibrutinib-based retreatment, this treatment approach appears to have a positive benefit-risk profile



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AbbVie and the authors thank the patients who participated in the study and their supportive families, as well as the investigators, study coordinators, study team, and nurses who cared for the patients.

AbbVie funded this trial and participated in the trial design, research, analysis, data collection, interpretation of data, and the review and approval of the publication. All authors had access to relevant data and participated in the drafting, review, and approval of this publication. No honoraria or payments were made for authorship.

This study was sponsored by Pharmacyclics, an AbbVie Company.

Medical writing support was provided by Melanie Sweetlove, MSc, and funded by AbbVie.

Supplementary Information

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PFS by MRD Status at 3 Months After EOT in High-Risk Subgroups

Outcome	With uMRD in PB	Without uMRD in PB	With uMRD in BM	Without uMRD in BM
del(17p)/mutated <i>TP53</i> (n=27) Evaluable patients, n 5-year PFS rate, % (95% CI)	16 65 (35–84)	8 0 (NE–NE)	11 60 (25–83)	12 21 (4–48)
Complex karyotype (n=31) Evaluable patients, n 5-year PFS rate, % (95% CI)	19 79 (53–92)	10 20 (3–48)	17 82 (55–94)	12 25 (6–51)
Unmutated IGHV (n=89) ^a Evaluable patients, n 5-year PFS rate, % (95% CI)	56 74 (60–84)	25 24 (10–42)	49 72 (57–83)	26 33 (16–51)

BM, bone marrow; EOT, end of treatment; NE, not estimable; PB, peripheral blood.

^aIrrespective of other genomic risk features.

