

Safety and Tolerability of Lumateperone 42 mg for the Treatment of Major Depressive Disorder: A Pooled Analysis of 2 Randomized Placebo-Controlled Trials

Susan G. Kozauer, MD¹; Suresh Durgam, MD¹; Willie R. Earley, MD¹; Changzheng Chen, PhD¹; Hassan Lakkis, PhD¹; Tobie Escher, PhD¹; Zubin Bhagwagar, MD, PhD¹; Christoph U. Correll, MD²⁻⁴

¹ Intra-Cellular Therapies, Inc., New York, NY, USA; ² Department of Psychiatry, The Zucker Hillside Hospital, Northwell Health, Glen Oaks, NY, USA; ³ Department of Psychiatry and Molecular Medicine, Zucker School of Medicine at Hofstra/Northwell, Hempstead, NY, USA; ⁴ Department of Child and Adolescent Psychiatry, Charité Universitätsmedizin Berlin, Berlin, Germany



BACKGROUND

- Major depressive disorder (MDD) is a highly burdensome illness and is associated with functional impairment, comorbidities, and reduced quality of life¹
- Current treatment options for MDD have tolerability concerns that affect medication adherence, including weight gain, cardiometabolic disturbances, gastrointestinal symptoms, and sexual dysfunction²⁻⁴
- Most patients fail to achieve remission (≈75%) or response (≈60%) with first-line treatment, and patients with inadequate antidepressant therapy (ADT) response have increased hospitalization risk and greater impairments in functioning compared with those who respond^{5,6}
- Lumateperone is a mechanistically novel US Food and Drug Administration–approved antipsychotic to treat schizophrenia and depressive episodes associated with bipolar I or bipolar II disorder as monotherapy and as adjunctive therapy with lithium or valproate^{7,8}
 - Lumateperone is a simultaneous modulator of serotonin, dopamine, and glutamate neurotransmission⁷
 - Specifically, lumateperone is a potent serotonin 5-HT_{2A} receptor antagonist, a dopamine D₂ receptor presynaptic partial agonist and postsynaptic antagonist, a D₁ receptor–dependent indirect modulator of glutamatergic AMPA and NMDA currents, and a serotonin reuptake inhibitor⁷
 - This novel mechanism of action with multimodal effects may confer robust efficacy with improved tolerability compared with current treatment options
- The efficacy and safety of lumateperone 42 mg adjunctive to ADT were demonstrated in 2 Phase 3, randomized, double-blind, placebo-controlled studies (Study 501 [NCT04985942]; Study 502 [NCT05061706]) in patients with MDD with inadequate ADT response^{9,10}
 - In both trials, lumateperone 42 mg + ADT met primary and key secondary efficacy endpoints, significantly improving Montgomery-Åsberg Depression Rating Scale (MADRS) Total score and Clinical Global Impression Scale–Severity (CGI-S) score from baseline to Day 43 compared with placebo + ADT
- This pooled analysis of these trials evaluated the safety and tolerability of lumateperone 42 mg + ADT in patients with MDD who had inadequate response to ADT

METHODS

- Safety and tolerability data were pooled for the lumateperone 42 mg + ADT group and for the placebo + ADT group from Study 501 and Study 502^{9,10}
 - Both studies evaluated 6-week oral lumateperone 42 mg + ADT or placebo + ADT
 - Eligible adults (aged 18–65 years, inclusive) had DSM-5–diagnosed MDD with inadequate response to 1 to 2 courses of prior ADT (defined as <50% improvement with ≥6 weeks ADT monotherapy as confirmed by the Antidepressant Treatment Response Questionnaire)
 - Patients were experiencing a major depressive episode (MADRS Total score ≥24 and CGI-S score ≥4) and had Quick Inventory of Depressive Symptomatology–Self Report-16 item (QIDS-SR-16) score ≥14 at screening and baseline
- Safety assessments included adverse events (AEs), physical examinations, vital signs, and changes in laboratory parameters, analyzed descriptively
 - Extrapyramidal symptoms (EPS) were assessed using the Barnes Akathisia Rating Scale (BARS), Abnormal Involuntary Movement Scale (AIMS), Simpson-Angus Scale (SAS), and by treatment-emergent AEs (TEAEs)
 - Suicidal ideation and behavior were assessed using the Columbia-Suicide Severity Rating Scale (C-SSRS)

RESULTS

Patient Population

- The pooled safety population comprised 964 patients (lumateperone + ADT, 483; placebo + ADT, 481), and 91.4% completed treatment
- Demographics and baseline characteristics were similar between groups (**Table 1**)
 - The majority of patients were female and White

Table 1. Baseline Demographics and Disease Characteristics

	Lumateperone 42 mg + ADT (n=483)	Placebo + ADT (n=481)
Age, mean (range), years	45.3 (18-65)	45.8 (18-65)
Sex, n (%)		
Female	327 (67.7)	325 (67.6)
Male	156 (32.3)	156 (32.4)
Race, n (%)		
White	415 (85.9)	414 (86.1)
Asian	41 (8.5)	36 (7.5)
Black	26 (5.4)	24 (5.0)
Other	1 (0.2)	7 (1.5)
Hispanic or Latino ethnicity, n (%)	51 (10.6)	49 (10.2)
No. of ADT failures in current episode, n (%)		
1	434 (89.9)	420 (87.3)
2	49 (10.1)	61 (12.7)
ADT during double-blind treatment, n (%)		
SSRI	328 (67.9)	312 (64.9)
SNRI	126 (26.1)	138 (28.7)
Other (bupropion)	29 (6.0)	31 (6.4)

ADT, antidepressant therapy; SNRI, serotonin-norepinephrine reuptake inhibitor; SSRI, selective serotonin reuptake inhibitor.

Adverse Events

- TEAEs were reported in 68.1% of the lumateperone + ADT group and 45.1% of the placebo + ADT group (**Table 2**)
 - TEAEs occurring in the lumateperone + ADT group in ≥5% of patients and at more than twice the rate of placebo + ADT were dizziness, dry mouth, somnolence, nausea, and fatigue
 - Serious TEAEs were rare, occurring in 1 patient per group, and not considered related to treatment (lumateperone + ADT, polypectomy; placebo + ADT, joint dislocation)
 - For most patients experiencing TEAEs, the events were mild or moderate in severity (lumateperone + ADT, 95.1%; placebo + ADT, 98.2%)
- No patients died during the study

Table 2. Adverse Events

n, %	Lumateperone 42 mg + ADT (n=483)	Placebo + ADT (n=481)
≥1 TEAE	329 (68.1)	217 (45.1)
Drug-related TEAE	244 (50.5)	97 (20.2)
SAE	1 (0.2)	1 (0.2)
Drug-related SAE	0	0
Discontinued treatment due to:		
AE	42 (8.7)	4 (0.8)
Drug-related AE	39 (8.1)	4 (0.8)
SAE	0	0
Deaths	0	0
TEAEs in lumateperone + ADT group at ≥5% and twice placebo + ADT		
Dizziness	79 (16.4)	24 (5.0)
Dry mouth	61 (12.6)	16 (3.3)
Somnolence	49 (10.1)	10 (2.1)
Nausea	41 (8.5)	19 (4.0)
Fatigue	35 (7.2)	6 (1.2)

ADT, antidepressant therapy; AE, adverse event; SAE, serious adverse event; TEAE, treatment-emergent adverse event.

Cardiometabolic Assessments, Prolactin, and Body Morphology

- Mean changes from baseline to the end of the double-blind treatment period in cardiometabolic parameters were similar between lumateperone + ADT and placebo + ADT groups (**Table 3**)
 - The incidence of patients who met potentially clinically significant elevations in cardiometabolic parameters was low and similar between the treatment groups
- No clinically relevant increases occurred in prolactin levels at the end of treatment (**Table 3**)

Table 3. Cardiometabolic and Prolactin Parameters

	Lumateperone 42 mg + ADT (n=483)		Placebo + ADT (n=481)	
	Baseline Mean (SD)	Mean Change to EOT (SD)	Baseline Mean (SD)	Mean Change to EOT (SD)
Cholesterol, mg/dL ^a				
Total	199.7 (41.05)	−9.8 (33.32)	199.2 (43.29)	−2.3 (30.85)
HDL	56.2 (17.26)	−0.6 (11.08)	57.4 (16.45)	−0.6 (9.47)
LDL	138.4 (39.20)	−9.4 (30.21)	137.9 (43.51)	−2.0 (30.43)
Triglycerides, mg/dL ^a	139.7 (89.07)	−3.4 (87.36)	133.5 (75.04)	3.6 (70.15)
Glucose, mg/dL ^b	92.3 (14.66)	0.1 (13.74)	93.7 (15.52)	0.7 (14.99)
Insulin, mIU/L ^c	15.0 (23.30)	−1.4 (23.58)	14.2 (16.34)	0.8 (19.98)
Prolactin, ng/mL ^a	10.0 (9.37)	1.1 (10.20)	9.6 (14.48)	1.0 (8.17)
PCS Criterion	n/N (%) ^d		n/N (%) ^e	
Total cholesterol ≥300 mg/dL	4/434 (0.9)		7/455 (1.5)	
LDL cholesterol >200 mg/dL	8/402 (2.0)		21/424 (5.0)	
Fasting glucose >160 mg/dL	0		3/386 (0.8)	
Fasting triglycerides ≥300 mg/dL	11/367 (3.0)		13/376 (3.5)	

^a Lumateperone + ADT, n=438; placebo + ADT, n=466. ^b Lumateperone + ADT, n=435; placebo + ADT, n=463. ^c Lumateperone + ADT, n=436; placebo + ADT, 463. ^d Percentage based on patients not meeting PCS criterion at baseline who had ≥1 postbaseline value during treatment. ADT, antidepressant therapy; EOT, end of treatment; HDL, high density lipoprotein; LDL, low density lipoprotein; PCS, potentially clinically significant.

- Weight, body mass index, and waist circumference remained stable in both groups (**Table 4**)
- Potentially clinically significant increase in weight was low in the lumateperone + ADT (0.4%) group compared with placebo + ADT (1.3%) and potentially clinically significant decrease in weight was similar in lumateperone + ADT and placebo + ADT groups (**Table 4**)

Table 4. Body Morphology

	Lumateperone 42 mg + ADT (n=483)		Placebo + ADT (n=481)	
	Baseline Mean (SD)	Mean Change to EOT (SD)	Baseline Mean (SD)	Mean Change to EOT (SD)
Weight, kg ^a	78.5 (16.71)	−0.1 (1.74)	79.0 (17.24)	0.0 (1.66)
Body mass index, kg/m ^{2,a}	27.7 (5.02)	−0.0 (0.61)	27.8 (5.13)	0.0 (0.58)
Waist circumference, cm ^b	92.3 (13.35)	−0.2 (3.98)	93.0 (14.08)	−0.3 (4.42)
PCS Criterion	n/N (%) ^c		n/N (%) ^c	
≥7% increase in weight	2/467 (0.4)		6/479 (1.3)	
≥7% decrease in weight	2/467 (0.4)		2/479 (0.4)	

^a Lumateperone + ADT, n=467; placebo + ADT, n=479. ^b Lumateperone + ADT, n=422; placebo + ADT, n=445. ^c Percentage based on patients with baseline and ≥1 postbaseline assessment during treatment. ADT, antidepressant therapy; EOT, end of treatment; PCS, potentially clinically significant.

Extrapyramidal Symptoms and Motor Assessments

- There were no notable changes in EPS as assessed by the BARS, AIMS, or SAS (**Table 5**)
 - EPS-related TEAEs, excluding akathisia and restlessness, occurred in 5.0% of the lumateperone + ADT group and 0.8% of the placebo + ADT group
 - The combined incidence of akathisia or restlessness was 1.0% for the lumateperone + ADT group and 0.8% for the placebo + ADT group

Table 5. Extrapyramidal Symptoms

	Lumateperone 42 mg + ADT (n=483)	Placebo + ADT (n=481)
	n (%)	n (%)
≥1 EPS-related TEAE, excluding akathisia and restlessness	24 (5.0)	4 (0.8)
Akathisia or restlessness TEAEs	5 (1.0)	4 (0.8)
Clinician-rated scales	Baseline Mean (SD)	Mean Change (SD) ^a
BARS Total score	0.1 (0.46)	−0.0 (0.53)
AIMS Total score	0.1 (0.47)	−0.0 (0.47)
SAS Total score	0.1 (0.41)	0.0 (0.50)

^a Mean change from baseline to end of treatment. ADT, antidepressant therapy; AIMS, Abnormal Involuntary Movement Scale; BARS, Barnes Akathisia Rating Scale; EPS, extrapyramidal symptoms; SAS, Simpson-Angus Rating Scale; TEAE, treatment-emergent adverse event.

Additional Safety Assessments

- There were no clinically meaningful changes in liver function tests, vital signs, or cardiac electrophysiology
- Based on the C-SSRS, no suicidal behavior was reported during treatment, and rates of emergent suicidal ideation were low and similar between groups (lumateperone + ADT, 1.6%; placebo + ADT, 2.5%)
 - No patients in the lumateperone + ADT group and 1 patient (0.2%) in the placebo + ADT group experienced a TEAE of suicidal ideation

CONCLUSIONS

- In this pooled analysis, lumateperone 42 mg + ADT had a safety profile similar to placebo + ADT
- There were minimal changes in cardiometabolic parameters, prolactin levels, and body morphology with lumateperone 42 mg + ADT, which were similar to placebo + ADT
- Risk of EPS and motor symptoms with adjunctive lumateperone 42 mg was low
- This pooled analysis suggests that lumateperone 42 mg may be a well-tolerated adjunctive treatment option for patients with MDD with inadequate ADT response

REFERENCES

- Proudman D, et al. *PharmacoEconomics*. 2021;39(6):619-625.
- Alva G. *CNS Spectr*. 2023;28(5):521-525.
- Marx W, et al. *Nat Rev Dis Primers*. 2023;9(1):44.
- Hiles SA, et al. *Depress Anxiety*. 2016;33(8):754-764.
- Pigott HE, et al. *BMJ Open*. 2023;13(7):e063095.
- Knoth RL, et al. *Am J Manag Care*. 2010;16(8):e188-e196.
- Titulaer J, et al. *Eur Neuropsychopharmacol*. 2022;62:22-35.
- Caplyta. Prescribing information. Intra-Cellular Therapies, Inc.;2023.
- Durgam S, et al. "Lumateperone as Adjunctive Therapy in Patients With Major Depressive Disorder: Results From a Randomised, Double-blind, Phase 3 Trial." Poster presented at: European College of Neuropsychopharmacology Annual Congress, September 21-24, 2024, Milan, Italy.
- Durgam S, et al. "Adjunctive Lumateperone in Patients With Major Depressive Disorder: Results From an Additional Randomized, Double-Blind, Phase 3 Trial." Poster presented at: Psych Congress Annual Meeting, October 29- November 2, 2024, Boston, MA.

DISCLOSURES AND ACKNOWLEDGMENTS

SG Kozauer, S Durgam, WR Earley, C Chen, H Lakkis, T Escher, and Z Bhagwagar are full-time employees of Intra-Cellular Therapies, Inc. and may hold equity in the company.

CU Correll has been a consultant and/or advisor to or has received honoraria from AbbVie, Acadia, Adock Ingram, Alkermes, Allergan, Angelini, Arist, Biogen, Boehringer-Ingelheim, Bristol Myers Squibb, Cardio Diagnostics, Cerevel, CNX Therapeutics, Compass Pathways, Darnitsa, Delpor, Denovo, Eli Lilly, Gedeon Richter, Hikma, Holmusk, Intra-Cellular Therapies, JamJojo Pharma, Janssen/J&J, Karuna, LB Pharma, Lundbeck, MedinCell, MedLink, Merck, Mindpax, Mitsubishi Tanabe Pharma, Maplight, Mylan, Neumora Therapeutics, Neurocrine, Neurelis, Newron, Noven, Novo Nordisk, Otsuka, PPD Biotech, Recordati, Relmada, Reviva, Rovi, Sage, Saladax, Sanofi, Seqirus, SK Life Science, Sumitomo Pharma America, Sunovion, SUN Pharma, Supernus, Tabuk, Takeda, Teva, Terran, Tolmar, Vertex, Viatrix, and Xenon Pharmaceuticals. He provided expert testimony for Janssen, Lundbeck, and Otsuka. He served on a data safety monitoring board for Compass Pathways, Denovo, Intra-Cellular Therapies, Lundbeck, Relmada, Reviva, Rovi, Supernus, and Teva. He has received grant support from Boehringer-Ingelheim, Janssen, and Takeda. He received royalties from UpToDate and is also a stock option holder of Cardio Diagnostics, Kuleon Biosciences, LB Pharma, Medlink, Mindpax, Quantic, and Terran.

The authors thank all study investigators, research staff, and patients for their participation. Medical writing support was provided by Sarah Engelberth, PhD, and Kendall Foote, PhD, of Nucleus Global, an Inizio company, funded by Intra-Cellular Therapies, Inc.